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Customer No.: **07278** 

Docket No: 03394/100H557-US1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ehud Goldin et al.

Serial No.:

For:

09/851,494

Art Unit:

1646

Confirmation No.:

Filed: May 8, 2001

Examiner:

John D. Ulm

A Gene Encoding A New TRP Channel Is Mutated In Mucolipodosis IV

.

## **DECLARATION UNDER 37 C.F.R. § 1.131**

Mail Stop Non-Fee Amendments Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

We, EHUD GOLDIN, SUSAN A. SLAUGENHAUPT, MEI SUN, and JAMES S.

ACIERNO, JR. hereby declare and state as follows:

Serial No. 09/851,494

Docket No: 03394/100H557-US1

Page 1

- 1. Susan A. Slaugenhaupt and James S. Acierno, Jr. are citizens of the United States of America. Ehud Goldin is a citizen of Israel. Mei Sun is a citizen of China. Each of us is more than twenty-one years of age.
  - 2. We are the inventors of the above-identified application.
- 3. We reaffirm our duty of candor and good faith in dealing with the Office, including the duty to disclose to the Office all information known to be material to the patentability of the invention as defined in 37 C.F.R. § 1.56.
- 4. We have read and are familiar with the instant application as it was filed in the U.S. Patent and Trademark Office.
- 5. We have read and are familiar with the publications by (i) Curtis et al. (Pub. No. US 2002/0035056 A1), which we understand has an effective filing date under 35 U.S.C. 119(e) of Apr. 07, 2000; and (ii) Lal et al. (Pub. No. US 2002/0182671 A1), which we understand has an effective filing date under 35 U.S.C. 119(e) of Aug. 17, 1999.
- 6. It is our understanding that, according to the Examiner, the amino acid sequence presented in SEQ ID NO: 3 of the instant application is identical to the amino acid sequence presented in SEQ ID NO: 2 of Curtis et al. and SEQ ID NO: 13 of Lal et al. It is further our understanding that the Examiner states that Curtis et al. and Lal et al. each present an isolated nucleic acid encoding a protein comprising the amino acid sequence presented in SEQ ID NO: 3 of the instant application, as well as a vector and host cell comprising that nucleic acid.

Serial No. 09/851,494

Docket No: 03394/100H557-US1

- 7. Prior to Aug. 17, 1999, the effective date of the Lal et al. publication, we conceived and reduced to practice the invention as described and claimed in claims 1, 5-7, 33-34, and 39 of the subject application.
- 8. The inventive work embodied in all claims of the subject application was carried out in its entirety in the United States of America.
- 9. As evidence that our reduction to practice antedates Lal et al., we refer to Exhibits 1 and 2, which collectively establish the conception and reduction to practice of our invention prior to Aug 17, 1999. The exhibits verify the isolation and possession of a nucleic acid encoding MCOLN1 prior to Aug. 17, 1999. Dates, along with privileged information, appearing in these documents have been reducted, but each document has a date before August 17, 1999.
- 10. Exhibit 1 establishes identification of MCOLN1 sequence, showing the receipt by Dr. Slaugenhaupt of two primers: (i) sts-T66288-R (5'-AGC TGC AGG CCT ACA TCG -3'); and (ii) sts-T66288-F (5'GGC AGT CAG GTC GAA TCA AT-3). As shown in Appendix A, the two primers are specific to the MCOLN1 gene, spanning the 1732-1883 bp region of the MCOLN1 cDNA sequence (SEQ ID NO: 3).
- encoding a full-length MCOLN1 protein by presenting an EST alignment spanning the MCOLN1 gene. At least two notations are particularly relevant. First, this page shows a "2264 bp" annotation of T66288 following sequencing, indicating that T66288 encodes the entire MCOLN protein. Prior to our sequencing, the exact insert size of this construct was not known.

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  Docket No: 03394/100H557-US1

Second, this page also identifies the orientation of AI8166064, which is the corresponding GenBank accession number for IMAGE CLONE 2517653 (Appendix B). Paragraph [0185] of the specification states that we "sequenced the IMAGE clone 2517653." This paragraph further describes our deduction and confirmation of the MG-2 (MCOLN) open-reading frame from this clone.

- 12. With the isolation and identification of the MCOLN1 coding region, we also achieved reduction to practice of an expression vector encoding the MCOLN1 protein prior to August 17, 1999. Appendix B reveals that IMAGE CLONE 2517653 (as presented in Exhibit 2) is inserted into the pBlusescript SK+ vector. This common vector is widely recognized by those skilled in the art of molecular biology as including T3 and T7 promoters that flank the cloning site, which allow expression of the inserted gene sequence. Appendix C shows the key structural features of this vector. The entire MCOLN1 open reading frame is present in IMAGE CLONE 2517653.
- 13. These documents verify our reduction to practice in the United States of America, prior to Aug. 17, 1999, of the subject matter of claims 1, 5-7, 33-35, and 39.
- 14. We further declare that all statements made herein of our own knowledge are true, and that all statements made on information and belief are believed to be true. We further declare that these statements are made with the knowledge that the willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United Stated Code, and that such willful false statements may jeopardize the validity of the instant application or of any patent issued thereupon.

Serial No. 09/851,494

Docket No: 03394/100H557-US1

11	12	8/	2004
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DATE

DATE

DATE

DATE

Ehud Goldin

Susan A. Slaugenhaupt

Mei Sun

James S. Acierno, Jr

# Respectfully submitted,

DATE	Ehud Goldin  Susan A. Slaugenhaupt
DATE	Mei Sun
DATE	James S. Acierno, Jr

	Respectfully submitted,
DATE	Ehud Goldin
DATE	Susan A. Slaugenhaupt
11/23/04 DATE	Mei Sun
DATE	James S. Acierno, Jr

	Respectfully submitted,
DATE	Ehud Goldin
DATE	Susan A. Slaugenhaupt
DATE	Mei Sun
12/19/04 DATE	James S. Acierno, Jr

## PAGE/ EXHIBITA

# NA Technologies, Inc.

gonucleotide Specification Sheet

stomer Information

Susan Slaugenhaupt Harvard Institute of Human Genetics Massachusetts General Hospital-Boston 77 Avenue Louis Pasteur HIM Bldg. Rm. 422 Boston, MA 02115 6174327025

//www.idtdna.com

Order Information

Order Date:

Customer #:

19479

P.O. #:

0000085288

Sales order #: 148396 624757 Reference #:

Oligonucleotide information

Reference #:

624757

Purification:

Standard Purification

Sequence Name: sts-T66288-f

Product: DNA Oligo Sample

Unit Size: 100 nmole

Bases: .20

5'- GGC AGT CAG GTC GAA TCA AT -3' Sequence:

7.572.00

GC Content:

**50.0 %** 

Tm (50mM NaCl):

Molecular Weight:

51.44 °C

**Amount of Oligo** 

21.8

95.01

0.72

**OD260** 

nanomoles

mg

Printed

6/9/99

624757 Integrated DNA Tech S. Staugenhoupt os/ca/as. C ACT CAC CTC CARTONAT Im = 51.44 \*C.MW = 7572

21.60 CD. - 95.01 and - 4.72 mg

624757 industral DNA Test विश्वास्त्रकृति हेस्स्य हेस्स्य हेस्स्य #265 | Appoint to the tea at 4 Tm = 515 | 4 ; 0 , he y = 7572 21.80 Op = 95,01, tand = 0.72 mg

Samples Statistically Tested

Q.C. Approved By:

# PLEASE READ BEFORE OPENING TUBES

- \* Store at -20°C. If the oligo is to be used on multiple occasions, resuspend in water
- smaller aliquots, lyophilize, and store at -20°C. Contents may appear as either a translucent film or a white powder. This variance does not affect the quality of the oligo. \* Please centrifuge tubes prior to opening. Some of the product may have been dislodged during shipping.
- Calculations are made using 1 OD 250 = 33 ug/mL

# grated DNA Technologies, Inc.

# igonucleotide Specification Sheet

istomer information

Susan Slaugenhaupt Harvard Institute of Human Genetics Massachusetts General Hospital-Boston 77 Avenue Louis Pasteur HIM Bldg. Rm. 422 Boston, MA 02115 6174327025

Order Information

Order Date: Customer #::

P.O. #:

19479

0000085288

Sales order #: 148396 Reference #: 624758

Oligonucleotide Information

Reference #:

624758

Purification:

**Standard Purification** 

Sequence Name: sts-T66288-R

Product: DNA Oligo Sample

Unit Size: 100 nmole

Bases:

18

Sequence: 5'- AGC TGC AGG GCT ACA TCG -3'

Molecular Weight:

6,754.00

GC Content:

61.1 %

Tm (50mM NaCl):

51.11 °C

Amount of Oligo

15.5

75.73

OD280

nanomoles

mg

0.51

Printed

6/9/99

LABELS - PEEL HERE

624758 Integrated DNA Tent 8. Strugentaupt og/08/98 im + 31.71 °C, NW - 6754

624758 Integrated DNA Tech 8. Sleugenhaupt 06/03/39 MA-TERSER R B-AGO TOO AGO COT AGA TES AT Tm - 51.11 °C, MW - 6754

Samples Statistically Tested

Q.C. Approved By:

# Lease read before opening tubes

Store at -20°C. If the oligo is to be used on multiple occasions, resuspend in water or tris-HDTA buffer, divide into smaller aliquous, lyophilize, and store at -20°C.

Contents may appear as either a translucent film or a white powder. This variance does not affect the quality of the oligo. Please centrifuge tubes prior to opening. Some of the product may have been dislodged during shipping. Calculations are made using 1 OD 26 = 33 ug / mL

# SEQ ID NO: 2

	sts-T66288-£	sts-T66288-r
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accccgggta	tgggacccag	gagggaatt	caccggcccc	tecgacaeee	ccagaagagg	24
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cccgaccccg	cttatttatt	tgtagggttt	gcttttaagg	ateggetece	tgtagagaac	198
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# Reduction The I.M.A.G.E. Consortium

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Begin new search | Begin new Clone search

# I.M.A.G.E. Clone Query Results:

Your search returned 2 results! Here they are:

VECTOR NAME	brain/CNS pBluescript SK+	brain/CNS pBluescript SK+
TISSUE	brain/CNS	brain/CNS
CDNA LIBR SPECIES ID	human	human
CDNA LIBR D	1341	1341
ACCNUM LENGTH CREATED MODIFIED	Jul 09 1999 Apr 17 2003 12:00AM 05:06PM	9 1999 Apr 17 2003 05:06PM
GB DATE CREATED	Jul 09 1999 12:00AM	Jul 09 1999 12:00AM
SEQ LENGTH	448	706
	AI815981	AI816064
PLATE	6268	6268
COL	9	9
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Result Number	1	2

Begin new search | Begin new Clone search

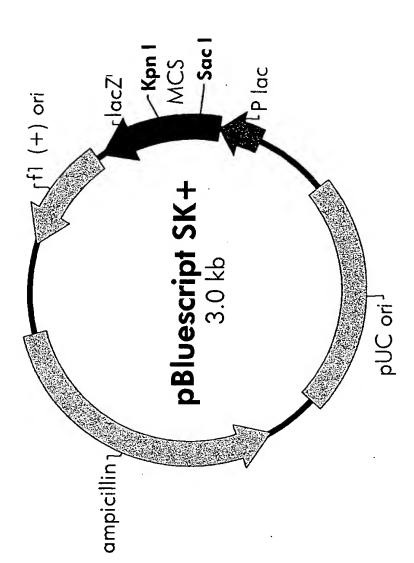
I.M.A.G.E. Consortium home page

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# APPENDIX C

f1 (+) origin 138–444 β-gallactosidase α-fragment 463–816 multiple cloning site 653–760 lac promoter 817–938 pUC origin 1158–1825 ampicillin resistance (bla) ORF 1976–2833



# pBluescript SK (+/-) Multiple Cloning Site Region (secquence shown 601–826)

Clail Hind III Fook V Fook I Pst Smal Bamh Spel Xbal Fag I BstK I Sac II TTGITAAAACGACGGCCAGTGAATTGTAATACGACTCACTATAGGCGAATTGGGTACCGGGCCCCCCCTCGAGGTCGACGGT.

M13 -20 primer binding site T7 primer binding site. KS primer binding site... Hinc H Saf I Apa I EcoO 109 I pra II Xho I Kpn I SK primer binding site T7 primer binding site T7 Promoter.

T3 Promoter & β-gal α-fragment

GCTTTTGTTCCCTTTAGTGAGGGTTAATTTCGAGCTTGGCGTAATCATGGTCATAGCTGTTTCC

T3 primer binding site

P

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